



Written Authorization to Prepare and Transmit Export Information on behalf of the FPPI for Routed Exports - Export Control Determination by USPPI | (Form WA-1)

I / We, (Foreign Principal Part in Interest (FPPI) – Name and Address):

authorize(s) EMO Trans, Inc. to act as the Authorized Agent for export control, U.S. Customs and Border Protection (CBP), and U.S. Census Bureau purposes and to transmit such export information electronically to the U.S. Automated Export System (AES) as may be required by U.S. export laws or regulations in connection with the exportation or transportation of any goods on behalf of said FPPI. The FPPI certifies that all necessary and proper documentation to accurately transmit the information electronically is and will be provided, prior to export, to EMO Trans. The FPPI further understands that it is the responsibility of each U.S. Shipper (U.S. Principal Party in Interest – USPPI) to provide EMO Trans with certain export commodity data, including but not limited to, licensing authorization, Export Control Classification Number (ECCN), and commodity classification, that may be required to accurately transmit such export information and acknowledges that no goods will be exported until such time that EMO Trans obtains this information in good order from the USPPI.

In the case of a drop-shipment (a shipment that is exported from the United States to an End-User that is NOT the FPPI), the FPPI assumes the full responsibility to provide accurate information, including but not limited to, End-User and Intermediate Consignee, if not being provided by the USPPI. Further, unless expressly instructed not to do so by said FPPI, EMO Trans reserves the right to fully disclose any and all information in regards to all parties related to the transaction (such as End-User, Intermediate Consignee, etc.) to the USPPI, prior to export, so that the USPPI has sufficient information to make Export Control Determinations including, but not limited to, license authorization, and perform their required due diligence functions as prescribed by law. If the FPPI has not assumed the responsibility of Export Control Determination and the End-User is NOT to be disclosed to the USPPI, EMO Trans must agree to these conditions, in writing, and reserves the right to refuse the transaction.

This authorization (WA-1) does not apply to transactions where the FPPI has assumed the responsibility of Export Control Determination, such as license authority determination, ECCN determination, and commodity classification. These types of transactions would require the EMO Trans form “Written Authorization to Prepare and Transmit Export Information on behalf of the FPPI for Routed Exports - Export Control Determination by FPPI | (Form WA-2)”. In such cases, contact your EMO Trans representative for further information.

See page 2 for Responsibilities of Parties in Routed Export Transaction as covered by this agreement.

Routed Export Transaction herein is defined as per 15 CFR Part 30 (NOT EAR Part 758) as EMO Trans will not be held responsible for any Export Control Determinations including, but not limited to, licensing, ECCN, and commodity classification. The FPPI herewith confirms that all information provided to the EMO Trans is/will be accurate and assumes full liability under the applicable U.S. regulations for any violations thereof.

This Written Authorization (WA) is to remain in full force and effect until revocation, in writing, is duly given, by the FPPI, and received by EMO Trans, Inc.

This WA applies to any (and all) U.S. export transactions initiated and controlled by the above mentioned FPPI, regardless of the USPPI. Further, this WA may be applied as a blanket authorization, for said FPPI, where FPPI purchases from multiple USPPIs.

Signature: _____

Date: _____

Name: _____

Title: _____

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RESPONSIBILITIES OF PARTIES FOR TRANSACTIONS CONDUCTED UNDER THIS AGREEMENT

Routed export transaction. A transaction in which the FPPI authorizes a U.S. agent to facilitate export of items from the United States on its behalf and prepare and file the Electronic Export Information (EEI).

(1) *USPPI responsibilities.* In a routed export transaction, where the FPPI authorizes an agent to prepare and file the EEI, the USPP must provide the below data elements to EMO Trans and shall retain documentation to support the information provided to EMO Trans for the EEI as specified in 15 CFR §30.10:

- (i) Name and address of the USPP.
- (ii) USPP's EIN or DUNS
- (iii) State of origin (State).
- (iv) FTZ if applicable.
- (v) Commercial description of commodities.
- (vi) Origin of goods indicator: Domestic (D) or Foreign (F).
- (vii) Schedule B or HTSUSA, Classification Commodity Code.
- (viii) Quantities/units of measure.
- (ix) Value.
- (x) Export Control Classification Number (ECCN)
- (xi) All licensing information necessary to file the EEI for commodities where the Department of State, the Department of Commerce, or other U.S. government agency issues a license for the commodities being exported, or the merchandise is being exported under a license exemption or license exception.
- (xii) Any information that it knows will affect the determination of license authorization.

NOTE TO PARAGRAPH (1) OF THIS SECTION – THIS WRITTEN AUTHORIZATION WILL NOT APPLY FOR THE FOLLOWING: For items in paragraph (1) (ix), (x),(xi) and (xii) of this section, where the FPPI has assumed responsibility for determining and obtaining license authority use Form WA-2 (contact EMO Trans).

(2) *Authorized agent responsibilities.* In a routed export transaction, if EMO Trans is preparing and filing the EEI on behalf of the FPPI, EMO Trans must obtain a power of attorney or written authorization from the FPPI and prepare and file the EEI based on information obtained from the USPP or other parties involved in the transaction. EMO Trans shall be responsible for filing the EEI accurately and timely in accordance with the U.S. Foreign Trade Regulations (FTR). Upon request, EMO Trans will provide the USPP with a copy of the power of attorney or written authorization from the FPPI. EMO Trans shall also retain documentation to support the EEI reported through the AES. EMO Trans shall upon request, provide the USPP with all of the data elements in paragraphs (1)(i) through (xii) and (2)(i) through (xiv) of this section as submitted through the AES, unless instructed otherwise by the FPPI and agreed upon by EMO Trans as above (page 1). EMO Trans shall provide the following export information through the AES (based upon the information provided by the Principal Parties in Interest):

- (i) Date of export.
- (ii) Transportation Reference Number.
- (iii) Ultimate consignee.
- (iv) Intermediate consignee, if applicable.
- (v) Authorized agent name and address.
- (vi) EIN or DUNS of the authorized agent.
- (vii) Country of ultimate destination.
- (viii) Method of transportation.
- (ix) Carrier identification and conveyance name.
- (x) Port of export.
- (xi) Foreign port of unloading.
- (xii) Shipping weight.
- (xiii) ECCN.
- (xiv) License or license exemption information.

NOTE TO PARAGRAPH (2) OF THIS SECTION— THIS WRITTEN AUTHORIZATION WILL NOT APPLY FOR THE FOLLOWING: For items in paragraphs (2)(xiii) and (xiv) of this section, where the FPPI has assumed responsibility for determining and obtaining license authority use Form WA-2 (contact EMO Trans).